

## **Exhibit B**

**REDACTED**

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

**In Liquidation**

**DECEMBER 11, 2008**

(Please print or type)

Name of Customer: Delia Gail Rosenberg

Mailing Address: 875 Fifth Avenue

City: New York State: NY Zip: 10065

Account No.: 1-R0250

Taxpayer I.D. Number (Social Security No.): 1-8796

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

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**1. Claim for money balances as of December 11, 2008:**

- a. The Broker owes me a Credit (Cr.) Balance of \$ 0.00
- b. I owe the Broker a Debit (Dr.) Balance of \$ 0.00
- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, **it must be enclosed**  
with this claim form. \$ 0.00
- d. If balance is zero, insert "None." None

2. Claim for securities as of December 11, 2008:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

	<u>YES</u>	<u>NO</u>
a. The Broker owes me securities	✓	
b. I owe the Broker securities		✓
c. If yes to either, please list below:		

Date of Transaction (trade date)	Name of Security	<u>Number of Shares or Face Amount of Bonds</u>	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
See Nov. 30, 2008 1-R0250 statements, attached hereto as Exhibit A.			
\$3,925,834.57	(market value of securities long, per 1-R0250-3 statement)		
136,950.00	(market value of securities long, per 1-R0250-4 statement)		
(193,390.00)	(market value of securities short, per 1-R0250-4 statement)		
Total \$3,869,394.57			

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

See Exhibits A and B, and documents submitted herewith.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	<hr/>	✓
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	<hr/>	✓
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	<hr/>	✓
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	<hr/>	✓
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	<hr/>	✓
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	<hr/>	✓
9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? If so, give name of that broker.	<hr/>	✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Joshua Keller, Esq.

Milberg LLP, One Pennsylvania Plaza, New York, NY 10119

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM,  
CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR  
IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

... I acknowledge that I am filing this claim in good faith and that the above are true to the best of my  
INFORMATION AND BELIEF.

Date

6/23/09

Signature

*Delia Yael Rosenberg*

Date

Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly.**

together with supporting documentation, etc., to:

United States Bank  
Trustee for Bernard L. Madoff Investment Securities LLC  
One Penn Plaza  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

## **Exhibit A**

**BERNARD L. MADOFF**  
 INVESTMENT SECURITIES LLC  
 New York  London

885 Third Avenue  
 New York, NY 10022  
 (212) 230-2424  
 800 334-1343  
 Fax (212) 838-4061

DELIA GAIL ROSENBERG

875 FIFTH AVENUE  
 NEW YORK NY 10065

11/12/2012  
 11/21/2012  
 01/17/2013

1-R0250-3-0  
 11/30/08

\*\*\*\*\*3796  
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Affiliated with  
 Madoff Securities International Limited  
 12 Berkeley Street  
 Mayfair, London W1J 8DT  
 Tel 020 7493 6222

DATE	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT
<b>BALANCE FORWARD</b>			
11/12	3,436	300	104,821.80
11/12	2,990	802	67,680.00
11/12	2,9158	4626	120,567.14
11/12	1,411	5126	55,830
11/12	5,229	INTERNATIONAL BUSINESS MACHS	87,270
11/12	5,727	8952	123,193.97
11/12	2,739	INTEL CORP	12,310
11/12	3,735	13780	63,527.57
11/12	1,922	JOHNSTON & JOHNSON	59,580
11/12	1,162	18105	163,293.62
11/12	2,158	J.P. MORGAN CHASE & CO	38,530
11/12	7,885	2,241	34,560
11/12	3,984	LIBERTY MEDIA	39,041.77
11/12	1,517	HEBONIADS CORP	53,370
11/12	2,158	MERCK & CO	28,550
11/12	7,885	MICROSOFT CORP	21,810
11/12	3,984	MONSANTO CORP	7,300
11/12	1,517	PEPSICO INC	16,410
11/12	913	53215	61,696.90
11/12	6,723	APPLE INC	100,780
11/12	1,577	57039	172,286.85
11/12	2,988	PETZER INC	16,940
11/12	1,079	57521	116,155.62
11/12	2,075	AMGEN INC	15,610
11/12	4,930	51365	86,182.9
11/12	1,766	PHILLIP MORRIS INTERNATIONAL	54,080
11/12	5,395	60693	191,590.04
		70519	63,876.54
		CITI GROUP INC	59,160
		PHILIP MORRIS INTERNATIONAL	50,553.00
		BANK OF AMERICA	43,600
		QUALCOMM INC	12,510
			107,772.00
			56,124.20
			57,706.45
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BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
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 MADF

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DELIA GAIL ROSENBERG

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NEW YORK NY 10065

11/30/08  
11-R0250-3-0

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2

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

DATE	BOUGHT RECEIVED/SENT	SOLD DIVIDENDS/SENT	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	1,245	74343	SE HOMMERGER LTD	49.480	61,651.60
11/12	2,988	74845	EDMEAST CORP	16.510	49,550.80
11/12	5,893	78669	AT&T INC	27	159,346.00
11/12	1,494	79111	CONOCOPHILIPS	52.510	78,508.94
11/12	996	82295	UNILEVER PLC LVC TIME	52.840	51,387.04
11/12	6,652	83497	CISCO SYSTEMS INC	16.130	101,589.87
11/12	1,743	87321	U.S. BANCORP	29.530	51,539.79
11/12	2,075	87823	CHEVRON CORP	73.630	132,450.25
11/12	2,964	91577	INTEL TECHNOLOGIES INC	160	29,662.76
11/12	16,541	92149	GENERAL ELECTRIC CO	19.630	207,746.83
11/12	2,822	95973	VERIZON COMMUNICATIONS	30.410	85,929.02
11/12	2,460	96475	GOOGLE	374.00	84,921.60
11/12	3,775,000	2,299	JP MORGAN CHASE	29.376	3,712,584.00
		004 2/12/2009			
11/12		2/12/2009	FIDELITY SPARTAN		23.36
			U.S. TREASURY MONEY MARKET		
			DIV 11/12/08		
11/12	23,313	18010	FIDELITY SPARTAN	1	23,313.00
11/12	20,213	2379	U.S. TREASURY MONEY MARKET	1	20,213.00
11/12			FIDELITY SPARTAN		2.48
			U.S. TREASURY MONEY MARKET		
			DIV 11/19/08		
			CONTINUED ON PAGE 3		

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**DELIA GAIL ROSENBERG**

**875 FIFTH AVENUE  
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**1-R0250-3-0**

**11/30/08**  
 Period Ending

**\*\*\*\*8796**  
 Identification Number

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 Page

Affiliated with  
 Madoff Securities International Limited  
 12 Berkeley Street  
 Mayfair, London W1J 8DT  
 Tel 020 7493 6222

DATE	BOUGHT OR RECEIVED ON	SELL OR DELIVERED ON	DESCRIPTION	PRICE OR SYNO-	AMOUNT DEDUCED FROM CREDIT ACCOUNT	AMOUNT ADDED TO CREDIT ACCOUNT
11/19		20,213	52851	FIDELITY SPARTAN	1	20,213.00
11/19	250,000		11530	U.S. TREASURY BILL DUE 03/26/2009	247,817.00	
11/19	19,069		61889	FIDELITY SPARTAN INTEREST RATE INDEX	19,069.00	
				NEW BALANCE		231,901.50
				SECURITY VENTURAS		
				AT&T		
				ABBEY LABORATORIES		
				INVENTIVE		
				AT&T		
				BAKERS AMERICA		
				CHEVRON CORP		
				ELCO SYSTEMS INC		
				AT&T CORP		
				BEA CONSULT		
				COMCAST CORP		
				FLA		
				UNIVERSALPHILIPS		
				EXXON MOBIL CORP		
				GENERAL ELECTRIC CO		
				COMBINED ON PAGE		6

**BERNARD L. MADOFF**  
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DELTA MAIL ROSENBERG

875 FIFTH AVENUE  
 NEW YORK NY 10055

1-R0250-3-0

11/30/08  
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Page  
 4

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 12 Berkeley Street  
 Mayfair, London W1J 8DT  
 Tel 020 7493 6222

BOUGHT RECEIVED	SOLD PAID UP OR SHORT	DESCRIPTION	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
2,490	249	GOOGLE	292,960	
5,721		HEWLETT PACKARD CO	35,290	
1,441		INTERTECH GROUP	1,803	
3,4735		INTERNATIONAL BUSINESS MACHINES	81,600	
2,739		J.P. MORGAN CHASE & CO	31,660	
2,162		JOHNSON & JOHNSON	58,580	
2,156		KODAK	28,720	
7,885		LIBERTY BROADCASTING	20,220	
3,284		MICROSOFT CORP	16,000	
2,571		ORACLE CORPORATION	6,760	
6,723		PEPPER JAFFRAY	18,430	
2,075		PHILLIP MORRIS INTERNATIONAL	42,160	
2,988		PROCTER & GAMBLE CO	64,320	
1,660		QUALCOMM INC	3,700	
3,245		SCHLUMBERGER LTD	58,740	
19,069		FIDELITY SPARTAN	1	
1,653		U.S. TREASURY MONEY MARKET	26,280	
996		U.S. BANKERS TRUST COMPANY	57,500	
250,000		WILHELM PARCEL SWITZ INC	99,971	
996		CLASS B		
		U.S. TREASURY BILL		
		DATE 6372/12/09		
		3/26/2009		
		UNITED TECHNOLOGIES CORP		
		CONTINUED ON PAGE 5		

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

**885 Third Avenue  
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Fax (212) 838-4061**

800 334-1343  
Fax (212) 838-4061

DELIA "GAIL" ROSENBERG

875 FIFTH AVENUE  
NEW YORK  
NY 10065

1-RD250-3-0

80/08/11

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**Madoff Securities International Limited** **Affiliated with**

The logo for Bernard L. Madoff Investment Securities LLC. It features a square containing the letters "MADF" in a bold, sans-serif font. To the right of the square, the company name "BERNARD L. MADOFF" is written in a large, bold, sans-serif font, with "INVESTMENT SECURITIES LLC" in a smaller font below it. Below the company name, "New York □ London" is written in a smaller, regular font.

885 Third Avenue  
New York, NY 10022

800 334-1343  
Fax (212) 838-4061

DELIA GAIL ROSENBERG

875 FIFTH AVENUE  
NEW YORK  
NY 10065

11/30/08

6  
PAGE

**Madoff Securities International Limited**  
12 Berkeley Street  
**Mayfair, London W1J 8DT**  
Tel 020 7493 6222

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

BERNARD L. MADOFF  
 INVESTMENT SECURITIES LLC  
 New York  London

885 Third Avenue  
 New York, NY 10022  
 (212) 230-2424  
 800 334-1343  
 Fax (212) 838-4061

DELIA GAIL ROSENBERG

875 FIFTH AVENUE  
 NEW YORK NY 10065

1-R0250-4-0

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Affiliated with  
 Madoff Securities International Limited  
 12 Berkeley Street  
 Mayfair, London W1J 8DT  
 Tel 020 7493 6222

DATE	BOOKED RECEIVED ON	SELL DEBITED	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/32	83	4083	S E P 100 INDEX NOVEMBER 450 CALL	15.800	147,823.00	123,021.00
11/32	83	48387	S E P 100 INDEX NOVEMBER 450 PUT DECEMBER 450 CALL	17.800	147,823.00	123,021.00
11/32	83	38816	S E P 100 INDEX DECEMBER 420 PUT NOVEMBER 460 CALL	30	249,083.00	249,083.00
11/32	83	47466	S E P 100 INDEX NOVEMBER 450 PUT	37	307,017.00	231,902.00
			NEW BALANCE			
	83		SECURITY POSITIONS	MKT PRICE		
	83		S E P 100 INDEX DECEMBER 450 CALL	23.300		
	83		S E P 100 INDEX DECEMBER 420 PUT	16.500		
			MARKET VALUE OF SECURITIES			
			LONG SHORT			
			136,950.00 193,390.00			

## **Exhibit B**

**EXHIBIT B**

1. This Claim Form, exhibits, and supporting documentation (collectively "Claim Form") is submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
2. The information provided in the Claim Form is based on information provided in the Claimant's latest Madoff account statement and any additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law or equity.
4. The Claimant hereby requests that the Claim Form additionally be considered as a proof of claim, as a general creditor or otherwise, in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
5. This Claim Form is required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by applicable law, the Claimant does not, by submitting the Claim Form, consent to the jurisdiction of the Bankruptcy Court nor does Claimant waive any right to trial by jury.
6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.

8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.
10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
11. The Claim Form and supporting documents contain confidential information. The Claimant submits this information to the Trustee subject to the condition that this information will not be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
12. To the extent the BMIS account for which this Claim Form is submitted contains or purports to contain funds transferred from a "predecessor" or other BMIS account, the Claimant reserves all rights, claims, and defenses as to any such predecessor or other BMIS account. To the extent necessary or appropriate, this Claim Form is likewise submitted as a claim for and on behalf of any such predecessor or other BMIS account.
13. The Claimant submits herewith documents in support of the Claimant's claim, including documents containing information regarding account transactions, such as contributions and/or withdrawals. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement this submission, including the submission of additional documents, if deemed necessary. Below is a list of the documents submitted herewith:
  - BMIS Statements dated 10/31/08, 09/30/08 (Delia Gail Rosenberg Statement and Estate of Ira S. Rosenberg Delia Gail Rosenberg, Exec. Statement), and 11/30/08.
  - 9/18/08 Credit Trans from 1CM16130.
  - 9/18/08 Debit Trans to 1R025030.

BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York  London

THIS DAY CREDITED YOUR  
WITH THE FOLLOWING: TRANS FROM 1CM16130

885 Third Avenue  
New York, NY 10022  
212 230-2424  
P&S Dept. 212 230-2436  
800 334-1343  
Fax 212 838-4061

9/18/08

3,788,762.82

CLIENT'S ACCOUNT NUMBER

1-R0250-3

DELIA GAIL ROSENBERG  
875 FIFTH AVENUE  
NEW YORK  
NY 10065

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York  London

885 Third Avenue  
New York, NY 10022  
212 230-2424  
P&S Dept. 212 230-2436  
800 334-1343  
Fax 212 838-4061

WE HAVE THIS DAY **DEBITED YOUR**  
ACCOUNT WITH THE FOLLOWING: **TRANS TO 1R025030**

9/18/98

**3,788,762.82**

CLIENT'S ACCOUNT NUMBER

ESTATE OF IRA S ROSENBERG  
DELIA GAIL ROSENBERG EXEC  
875 FIFTH AVENUE  
NEW YORK **NY 10021**

**1-CM161-3**